

PTO/SB/08A



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 1

Application Number.: 09/892,424
 Filing Date: June 27, 2001
 First Named Inventor: Royan H. Bartley
 Art Unit: 2152
 Examiner Name: Unassigned
 Attorney Docket Number.: ROC920000298US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited, Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
<i>ll</i>		US - 5.704.031	12-30-1997	Mikami et al.	RECEIVED
		US - 5.845.077	12-01-1998	Fawcett	
		US - 5.930.357	07-27-1999	Fukui	OCT 20 2004
		US - 2001/0029526 A1	10-11-2001	Yokoyama et al.	Technology Center 2-00
		US - 6.425.126	07-23-2002	Branson et al.	
		US - 2002/0099812 A1	07-25-2002	Davis et al.	
		US - 2002/0129356 A1	09-12-2002	Hellerstein et al.	
		US - 2002/0188941 A1	12-12-2002	Cicciarelli et al.	
		US - 6.510.463 B1	01-21-2003	Farhat et al.	
		US - 6.714.976 B1	03-30-2004	Wilson et al.	
		US -			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document Country ³ - Number ⁴ - Kind Code ⁵ Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T ⁶

Examiner Signature	<i>[Signature]</i>	Date Considered	11/28/04
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¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

FORM PTO-1449 (Modified)

ATTY. DOCKET NO.
ROC9200010298US1SERIAL NO.
09/892,424**RECEIVED**LIST OF PATENTS AND PUBLICATIONS OF APPLICANTS
INFORMATION
DISCLOSURE STATEMENTAPPLICANT:
Royan H. Bartley et al.

AUG 28 2001

(Use several sheets if necessary)

FILING DATE:
June 27, 2001GROUP:
Unassigned

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REFERENCE DESIGNATION




U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

FOREIGN PATENT DOCUMENTS

POLYPATENT DOCUMENTS															
		DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
														YES	NO
	AL														
	AM														
	AN														
	AO														
	AP														

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	U.S. Patent Application, "Apparatus, Method, and Business Method for Enabling Customer Access to Computer System" ✓
		Execution Data in Exchange for Sharing the Execution Data," Serial No. 09/892,435, filed on June 27, 2001.
	AS	U.S. Patent Application, "Method and Apparatus for Automating Software Upgrades," Serial No. 09/912,975, ✓
		filed on July 25, 2001.
	AT	U.S. Patent Application, "Method and Apparatus Upgrade Assistance Using Critical Historical Product Information," ✓
		Serial No., 09/865,371, filed on May 23, 2001.

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APPLICANT:
Royan H. Bartley et al.

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	BA					
	BB					
	BC					
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	BE					
	BF					
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	BH					
	BI					
	BJ					
	BK					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
						YES NO
	BL					
	BM					
	BN					
	BO					
	BP					

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

ll	BR	U.S. Patent Application, "Physical Device Placement Assistant," Serial No. 09/865,798, filed on May 25, 2001.
ll	BS	AS/400 Publication, "Performance Management/400 Offerings and Services, including Performance Management/400 - Subset," Version 3, SC41-0145-02, September 1994.
	BT	

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DATE CONSIDERED

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